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# NOTICE OF ALLOWANCE AND FEE(S) DUE

ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P. 1300 19TH STREET, N.W. SUITE 600
WASHINGTON,, DC 20036

EXAMINER
SIMMONS, CHRIS E

ART UNIT PAPER NUMBER

1612 DATE MAILED: 03/30/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/595,815	05/25/2007	Gunter Kindel	51534	7147

TITLE OF INVENTION: MIXTURE WITH WINTERGREEN ODOR AND FLAVOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	07/02/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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appropriate. All further indicated unless correct maintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification a) specifying a new c	of n	naintenance fees w pondence address;	ill be and/or	mailed to the current of (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  1609 7590 03/30/2012  ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P. 1300 19TH STREET, N.W. SUITE 600 WASHINGTON., DC 20036				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
				Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
	,							(Depositor's name)
				-				(Signature) (Date)
L LDDV TG L EVOLV VO	T THE REAL PROPERTY.			<u> </u>			name de la companya d	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR			ATTO	RNEY DOCKET NO.	CONFIRMATION NO. 7147
10/595,815 TITLE OF INVENTION	05/25/2007 I: MIXTURE WITH WII	NTERGREEN ODOR AN	Gunter Kindel ND FLAVOR				51534	/14/
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	OUE	PREV. PAID ISSUI	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300		\$0		\$2040	07/02/2012
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	S				
SIMMONS, CHRIS E 1612		1612	424-049000					
CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			or agents OR, alter  (2) the name of a seregistered attorney  2 registered patent	names of up to 3 registered patent attorneys atts OR, alternatively, name of a single firm (having as a member a red attorney or agent) and the names of up to tered patent attorneys or agents. If no name is no name will be printed.				
PLEASE NOTE: Unl	less an assignee is ident h in 37 CFR 3.11. Comp	A TO BE PRINTED ON I ified below, no assignee oletion of this form is NO	data will appear on the	he pa g an a	itent. If an assign			cument has been filed for
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):		Individual 🖵 Co	rporati	on or other private grou	up entity Government
<ul> <li>4a. The following fee(s) are submitted:</li> <li>☐ Issue Fee</li> <li>☐ Publication Fee (No small entity discount permitted)</li> <li>☐ Advance Order - # of Copies</li> </ul>			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
5. Change in Entity Sta	tus (from status indicated as SMALL ENTITY state						ПТҮ status. See 37 CF	P 1 27(a)(2)
NOTE: The Issue Fee an	d Publication Fee (if req		d from anyone other th					e assignee or other party in
interest as snown by the	records of the United Sta	ites Patent and Trademark	Office.					
Authorized Signature					Date			
Typed or printed name				Registration No.				
This collection of inform an application. Confiden submitting the complete this form and/or suggests Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this butteriginia 22313-1450. DO	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR (	on is required to obtain 1.14. This collection is depending upon the e Chief Information C COMPLETED FORM	n or reis esti indiv Office IS TC	etain a benefit by the imated to take 12 re idual case. Any co r, U.S. Patent and D THIS ADDRESS	ne publ minutes mment Traden . SENI	ic which is to file (and to complete, including s on the amount of tim nark Office, U.S. Depa D TO: Commissioner fo	by the USPTO to process) gathering, preparing, and the you require to complete ettment of Commerce, P.O. or Patents, P.O. Box 1450,

PTOL-85 (Rev. 02/11) Approved for use through 08/31/2013.

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			SIMMONS, CHRIS E		
			ART UNIT PAPER NUMBER		

DATE MAILED: 03/30/2012

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1136 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1136 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	10/595,815	KINDEL ET AL.
Notice of Allowability	Examiner	Art Unit
	CHRIS SIMMONS	1612
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication IGHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>the amendment filed or</u>	<u>n 9/30/2011</u> .	
2. An election was made by the applicant in response to a rest the restriction requirement and election have been incorporate		ne interview on;
3. X The allowed claim(s) is/are 1, 3-18, 20 and 21.		
4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:		
Certified copies of the priority documents have  Certified copies of the priority documents have		
2. Certified copies of the priority documents have	• • • • • • • • • • • • • • • • • • • •	
3. Copies of the certified copies of the priority do	cuments have been received in this i	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give		
6. CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.	
(a) I including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	ffice action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO</li> </ol>		
<ul> <li>Attachment(s)</li> <li>1. ☑ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☐ Information Disclosure Statements (PTO/SB/08),</li></ul>	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☒ Examiner's Amendn 8. ☐ Examiner's Stateme 9. ☐ Other	(PTO-413), e
/Chris Simmons/	/Frederick Krass/	
Patent Examiner, Art Unit 1612	Supervisory Patent Exa	aminer, Art Unit 1612

### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Garret Davis on 03/05/2012.

The application has been amended as follows:

- 1) Claim 1, after "2-methoxyacetophenone" there has been inserted ---, wherein the amounts of compounds A), B), C), and D) and the ratio of compounds A): B): C): D) are adjusted so that the mixture provides a wintergreen flavor or odor ---
  - 2) Claim 2 has been canceled without prejudice thereto.
  - 3) Claim 6, "further composition" has been changed to composition further ---
- 4) Claim 6, second line, immediately after "and" there has been inserted --- wherein ---

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Art Unit: 1612

5) Claim 7, at line 1, "(i)" has been deleted.

- 6) Claims 15 and 16, in each instance "13" has been replaced with --- 14 ---.
- 7) Claim 19 has been canceled without prejudice thereto.
- 8) Claim 20, first line, "19" has been changed to --- 4 ----

#### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance:

Because of the high unpredictability of the art (see Angew. Chem. Int. Ed., C.S. Sell, 2006, 45, 6254-6261 (Exhibit 1) – submitted by Applicant 09/30/2011) and because neither one of the compounds in claim 1 is known to provide a wintergreen flavor or odor, one having ordinary skill in the art would not have a reasonable expectation to successfully make a composition comprising compounds A), B), C), D) and optionally E) wherein the amounts of compounds A), B), C), and D) and the ratio of compounds A): B): C): D) are adjusted so that the mixture provides a wintergreen flavor or odor. For these reasons, the claims as amended above are unobvious over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably Art Unit: 1612

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### CORRESPONDENCE

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRIS SIMMONS whose telephone number is (571)272-9065. The examiner can normally be reached on Monday - Friday from 7:30 - 5:00 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frederick Krass can be reached on (571) 272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Art Unit: 1612

Examiner, Art Unit 1612

/Frederick Krass/ Supervisory Patent Examiner, Art Unit 1612